

The Calderón Problem - From the Past to the Present

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FINLANDS AKADEMI



National Science Foundation
WHERE DISCOVERIES BEGIN



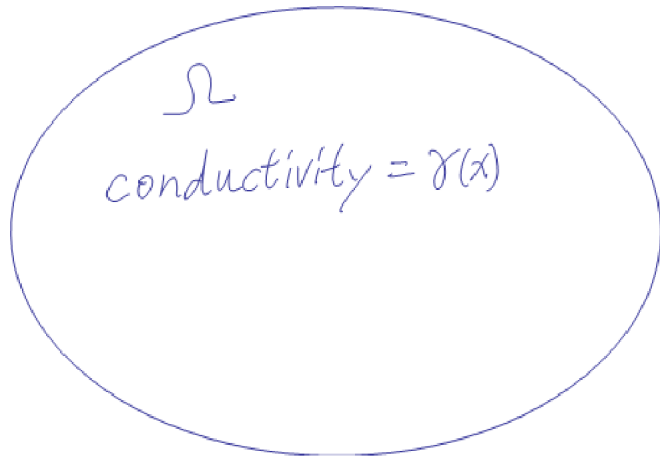
Vetenskapsrådet

Part I - The Classical Problem on \mathbb{R}^n

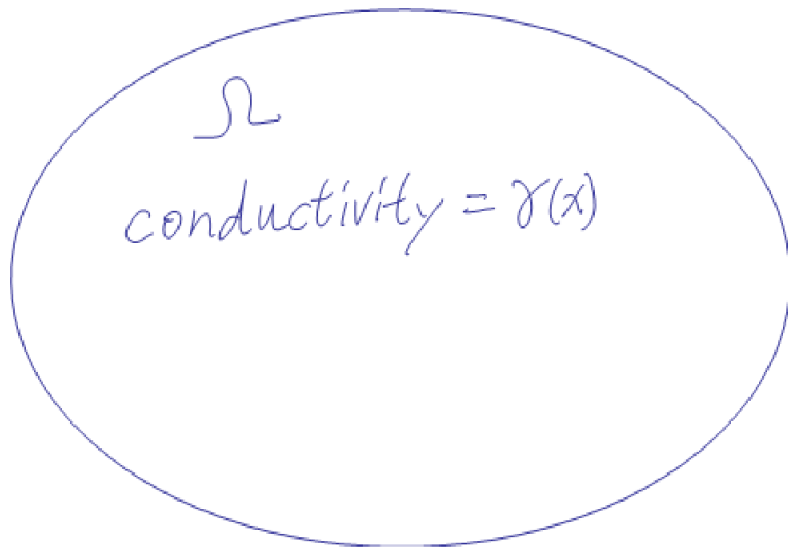
1. Calderón's Impedance Tomography Problem
2. Anisotropic Medium and Non-uniqueness
3. Sylvester-Uhlmann Solution for Isotropic Medium
 - Boundary Integral Identity
 - Complex Geometric Optics

Part II - The Manifold Setting

1. Geometric Aspects of PDE
2. Some Geometric Techniques



- Material Ω with conductivity $\gamma(x)$
- In general the material is anisotropic (muscle, timber, etc.)
- Conductivity depends on direction
- $\gamma(x)$ an $n \times n$ positive definite matrix
- Special isotropic cases (water, breast tissue), $\gamma(x) = \underbrace{\gamma(x)}_{\text{scalar}} I_{n \times n}$



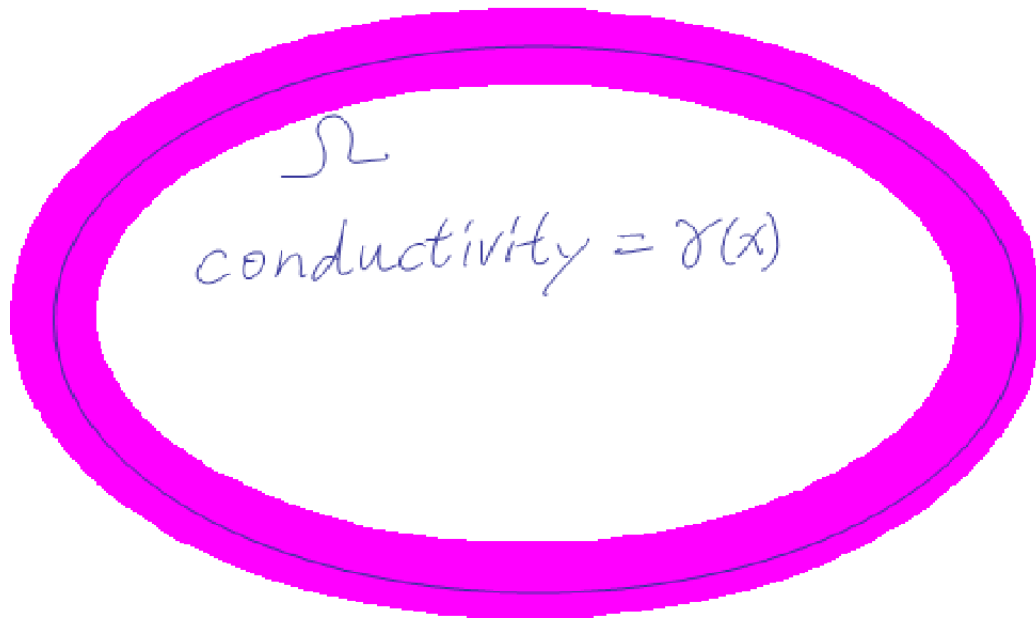
How do we determine $\gamma(x)$ in a non-invasive way?

This question is relevant in:

- Breast tumour detection
- Detecting impurities in steel
- Gas/oil exploration

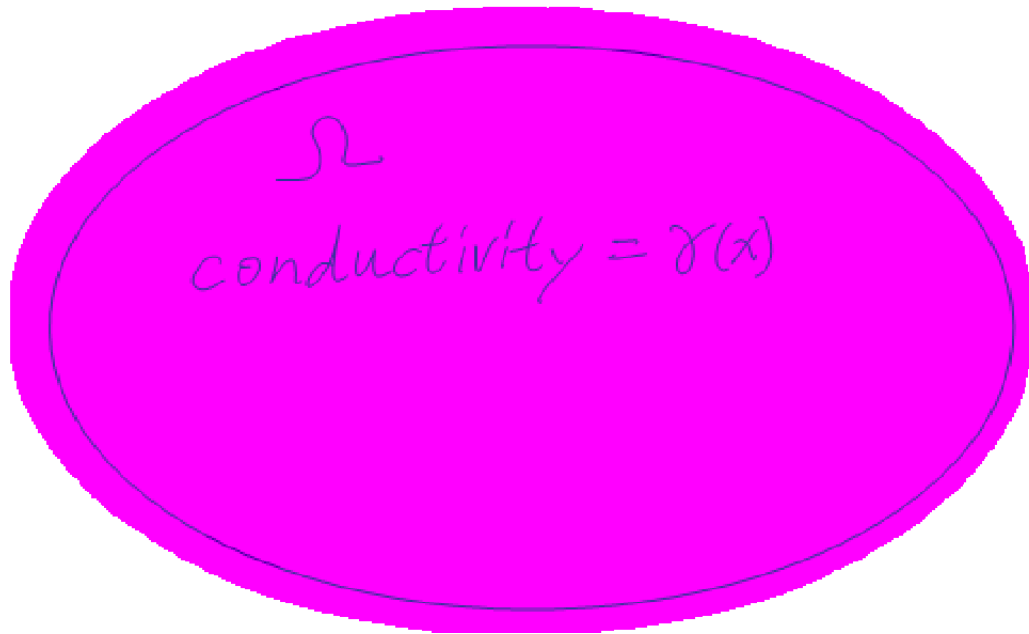
Electric Impedance Tomography (EIT):

We apply a voltage on the boundary.



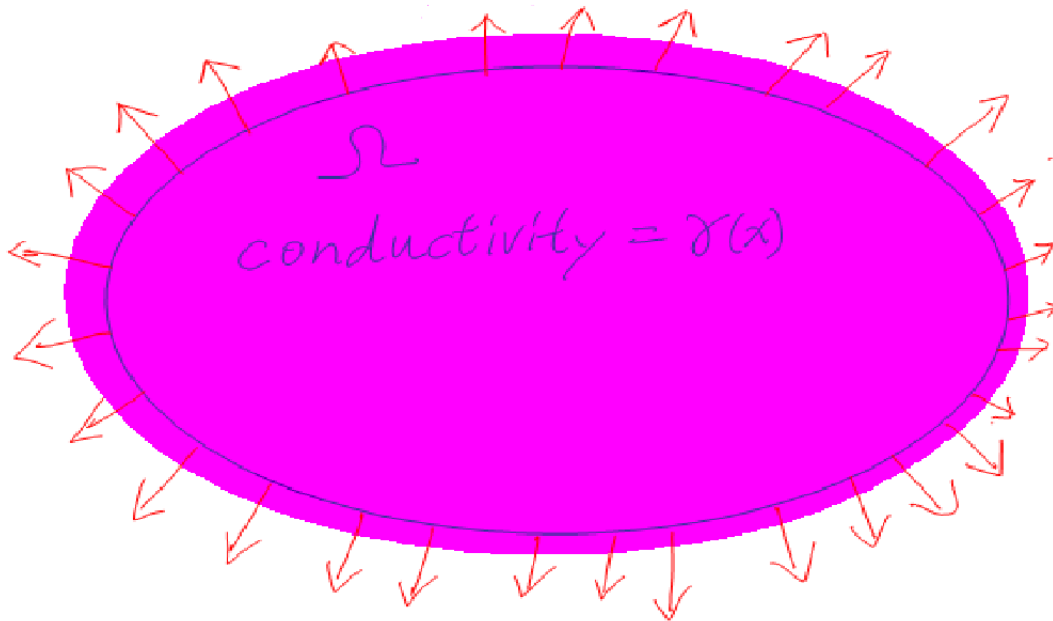
Electric Impedance Tomography (EIT):

This surface voltage induces an internal voltage.



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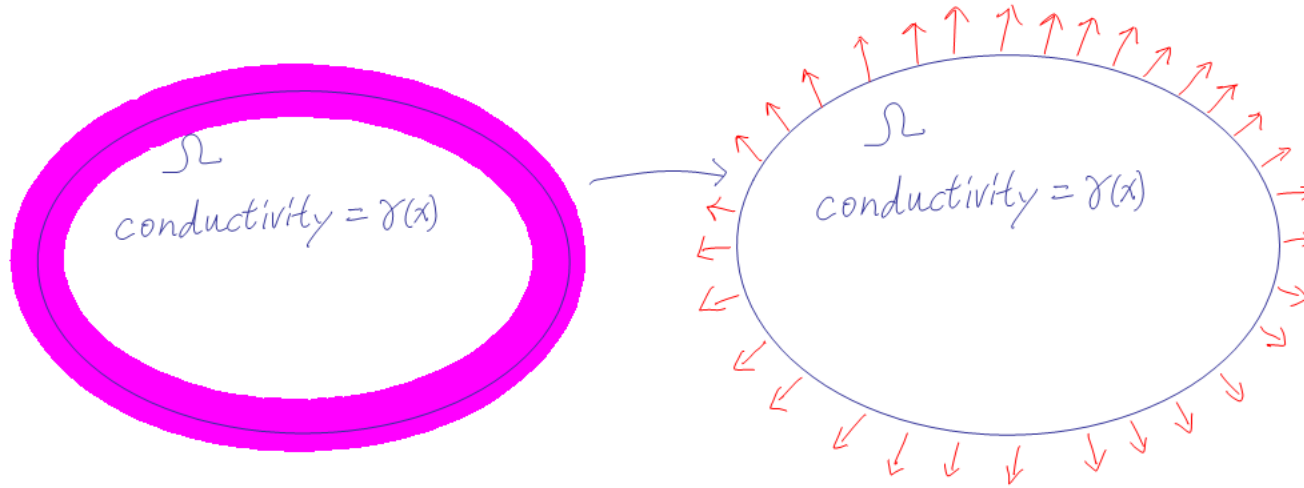
The voltage then gives a surface electric flux (current)



which we can measure.

Electric Impedance Tomography (EIT):

The lab technician can only measure what happens on the outside.



and record the resulting data:

| | | | | |
|----------------|-------|-------|-------|--------|
| Input Voltage | f_1 | f_2 | f_3 | etc... |
| Output Current | c_1 | c_2 | c_3 | etc... |

Electric Impedance Tomography (EIT):

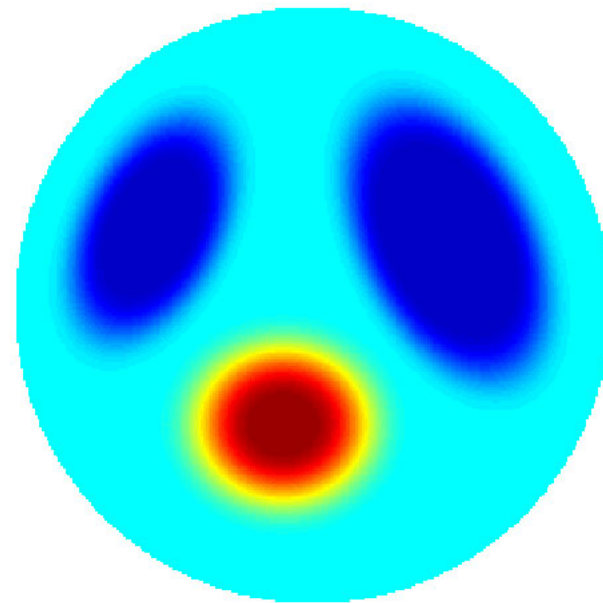
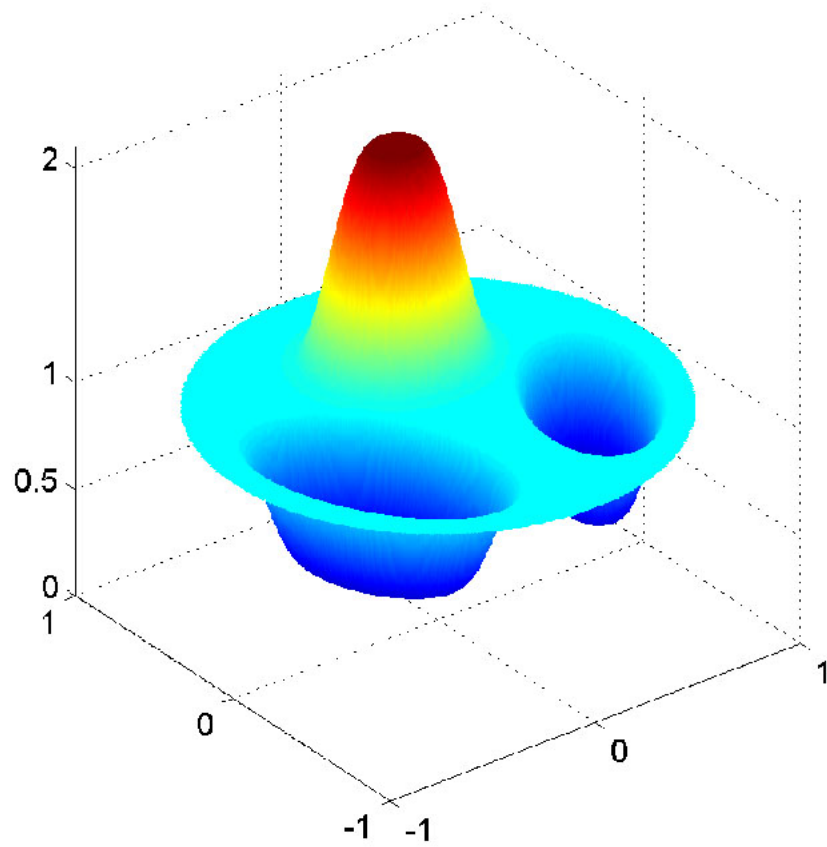
- The data depend on the conductivity γ .
- From the recorded data we recover the conductivity

A real life experiment. Data collected with 32 electrodes:



The machine is in Rensselaer Polytechnic Institute, USA.

Numerical reconstruction from data:



Courtesy of Dr. Siltanen of Finnish Centre of Excellence in Inverse Problems Research

- The pictures look reasonable but....
- Two different conductivities could potentially give identical measurements.
- Need to prove that this doesn't happen.

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- Define the linear operator $\Lambda_\gamma : C^\infty(\partial\Omega) \rightarrow C^\infty(\partial\Omega)$ by

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- Λ_γ is the Dirichlet-Neumann (voltage-current) map.
- Dependence of Λ_γ on γ NONLINEAR.

Calderón's Problem:

Does the operator Λ_γ uniquely determine γ ?
(ie. $\Lambda_{\gamma_1} = \Lambda_{\gamma_2} \implies \gamma_1 = \gamma_2$?)

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For general anisotropic (matrix valued) γ the answer is NO.

Counter-example:

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Is this the only non-uniqueness?

Conjecture

Suppose $\Lambda_{\gamma_1} = \Lambda_{\gamma_2}$. Then there exists a diffeomorphism

$$F : \Omega \rightarrow \Omega, \quad F|_{\partial\Omega} = Id$$

such that $\gamma_2 = F_*\gamma_1$.

- Only known to be true if $\Omega \subset \mathbb{R}^2$ (Nachman, Sylvester, Astala-Lassas-Päivärinta).
- $n \geq 3$ open.

Isotropic Conductivities

Now suppose a-priori that γ is isotropic (a scalar function).

Theorem (Sylvester-Uhlmann)

Let $\Omega \subset \mathbb{R}^n$ for $n \geq 3$. Suppose γ_1 and γ_2 are two smooth scalar conductivities such that

$$\Lambda_{\gamma_1} = \Lambda_{\gamma_2},$$

then $\gamma_1 = \gamma_2$.

- Non-constant coefficient $\nabla \cdot \gamma \nabla$ is not so nice.
- The proof considers an auxiliary problem for the Schrödinger operator $\Delta + V$.

Schrödinger Operator $\Delta + V$ and its Dirichlet-Neumann map

- Let $V \in L^\infty(\Omega)$ be the potential.
- Assume for all $f \in C^\infty(\partial\Omega)$, $\exists! u_f$ solving

$$(\Delta + V)u_f = 0 \text{ on } \Omega$$

$$u_f = f \text{ on } \partial\Omega$$

- Define Dirichlet-Neumann map $\Lambda_V : C^\infty(\partial\Omega) \rightarrow C^\infty(\partial\Omega)$ by

$$\Lambda_V : f \mapsto \partial_\nu u_f$$

- $\Lambda_{V_1} = \Lambda_{V_2} \implies V_1 = V_2$? Yes
($n \geq 3$ Sylvester-Uhlmann, $n = 2$ Bukgheim)

- For isotropic conductivity, $\nabla \cdot \gamma \nabla$ is a special case of $\Delta + V$
- Take $V = \frac{-\Delta \sqrt{\gamma}}{\sqrt{\gamma}}$ and make a change of variable.

The Sylvester-Uhlmann Result $n \geq 3$

- Prove: $\Lambda_{V_1} = \Lambda_{V_2} \implies V_1 = V_2$.
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- Two steps:
 1. Derive integral identity relating Λ_V to V .
 2. Probe identity with special solutions.

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$$\int_{\Omega} u_1(V_1 - V_2)\overline{u_2} = \int_{\partial\Omega} \overline{u_2}(\Lambda_{V_1} - \Lambda_{V_2})u_1 = 0$$

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Construct "Complex Geometric Optics"

2. Probing Identity With Special Solutions

- Recall Fourier Transform of a function:

$$\mathcal{F}(f)(\xi) = \int_{\mathbb{R}^n} e^{-i\xi \cdot x} f dx$$

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Plug

$$u_1 \bar{u}_2 = e^{i\xi \cdot x} + r$$

into

$$\int_{\Omega} u_1 (V_1 - V_2) \bar{u}_2 = 0$$

we have

$$\int_{\Omega} e^{i\xi \cdot x} (V_1 - V_2) = 0$$

Caveats

- This idea needs $n \geq 3$
- Choice of $\zeta \in \mathbb{C}^n$ requires THREE mutually perpendicular vectors in \mathbb{R}^n .
- Idea only works on flat space.

Part II - The Manifold Setting

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First talk about geometry

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First talk about geometry then analysis.

Dimensions $n = 2$

Theorem(Guillarmou - LT, Duke Math J 2011)

Let M be a Riemann surface with boundary. Suppose $V_1, V_2 \in C^\infty(\overline{M})$ satisfy $\Lambda_{V_1} f = \Lambda_{V_2} f, \forall f$, then $V_1 = V_2$.

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- $M = M' \times [0, 1], g = \begin{pmatrix} 1 & 0 \\ 0 & g'(x') \end{pmatrix}$
- (M', g') a simple manifold

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Ferreira-Kurylev-Lassas-Salo recently relaxed the assumption on M' .

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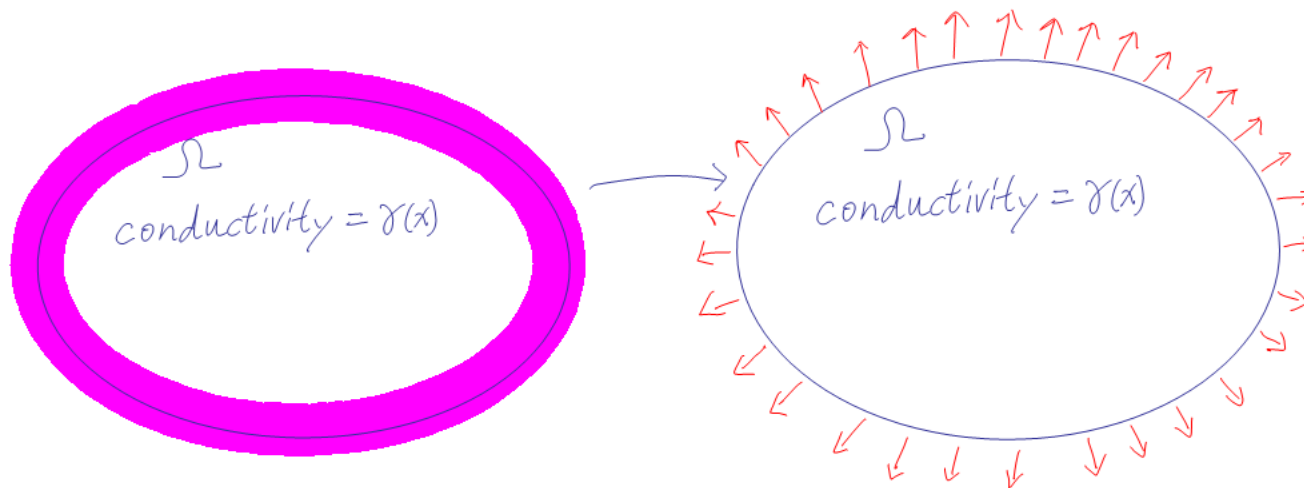
In $n = 2$ we can do even better.

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So far we have been able to make measurements on the entire boundary.

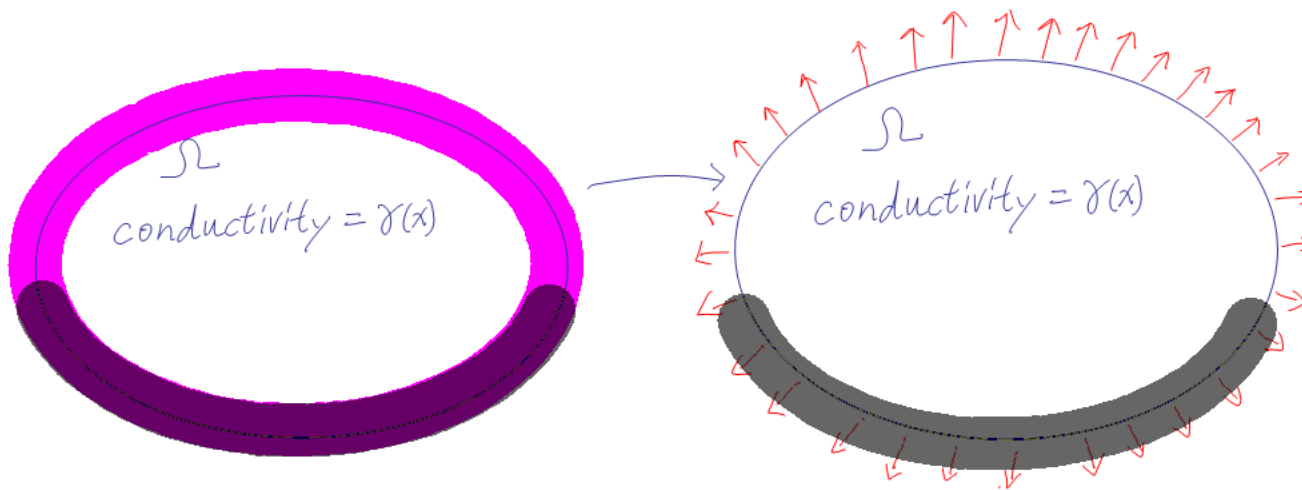


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What if part of the boundary is inaccessible?

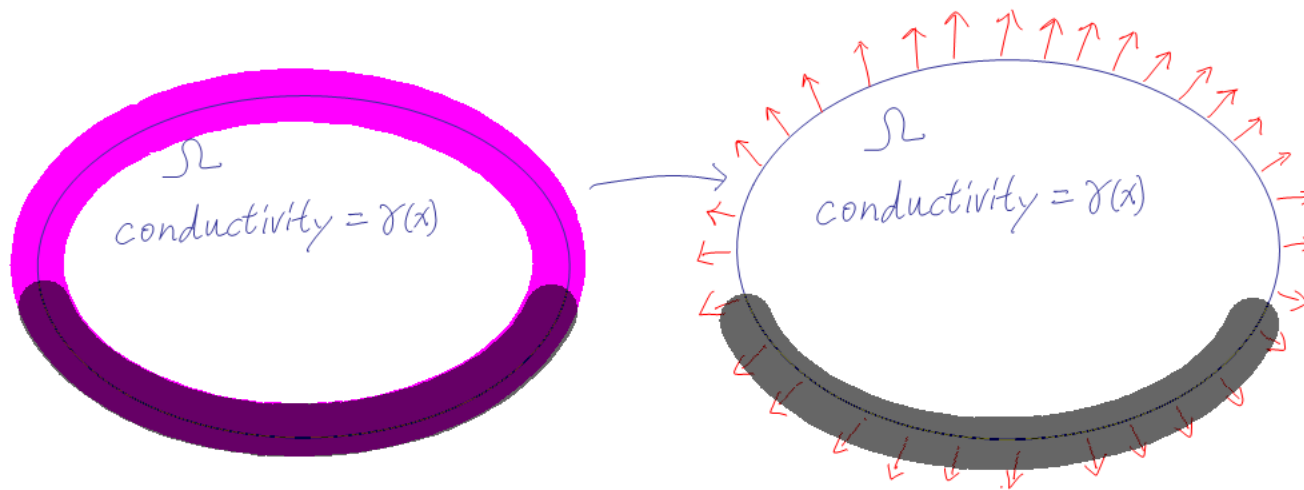


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Can only measure on $\Gamma \subset \partial M$ small open subset.

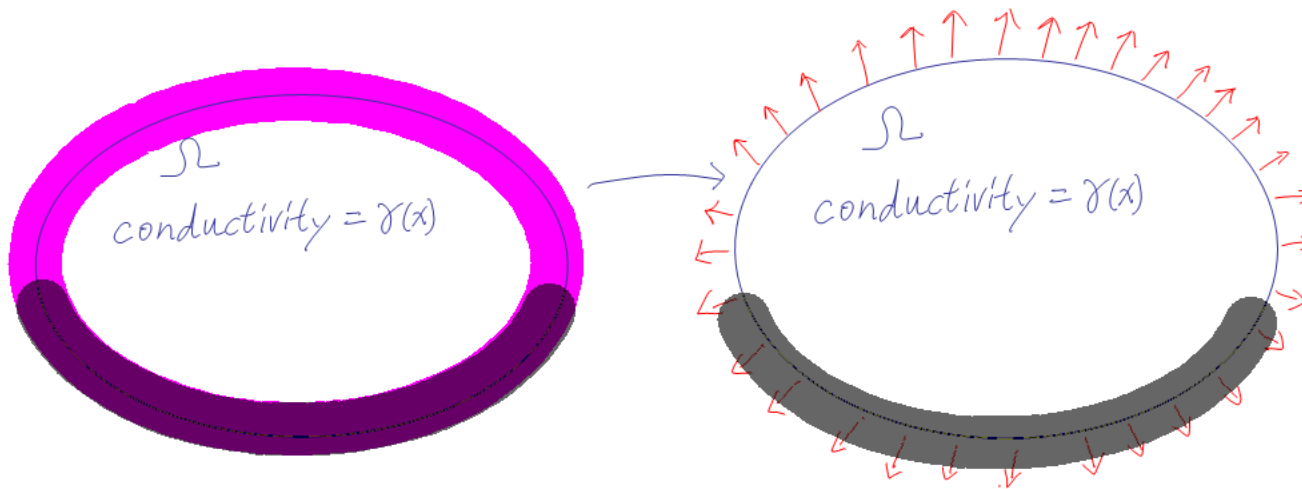


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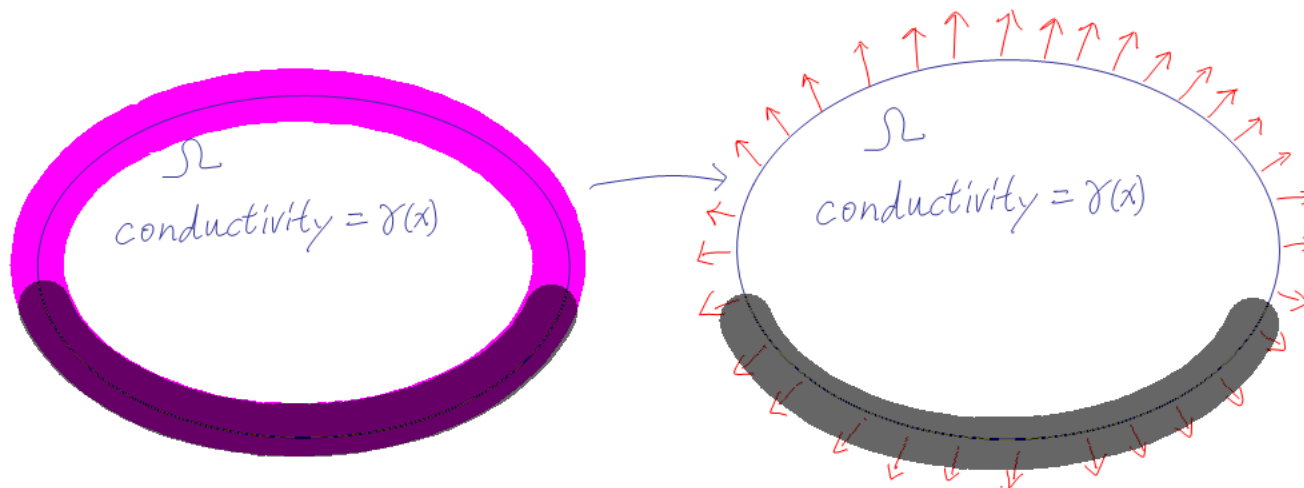


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So far we recovered V from the DN map for the operator

$$d^*d + V.$$

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What if we make the following change

$$(d + iA)^*(d + iA) + V$$

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A a real valued 1-form.

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Connection Laplacian on complex line bundle $E = C \times M$

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Connection Laplacian on complex line bundle $E = C \times M$

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What information does its DN map $\Lambda_{A,V}$ give about A and V ?

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The DN map of

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Theorem(Guillarmou - LT, GAFA 2011)

The DN map of

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$$\int_\gamma A \pmod{2\pi\mathbb{Z}}$$

for all closed curves γ .

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Further generalization

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The DN map of

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determines both the connection curvature dA and

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Let $\pi : E \rightarrow M$ be a Hermitian bundle over surface M and ∇ a Hermitian connection acting on E . Then the DN map of the connection Laplacian

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Analysis/PDE \Leftrightarrow Topology/Geometry

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- The curl dA is the magnetic field.

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our result fills this gap

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The answer is in the geometry of connection.

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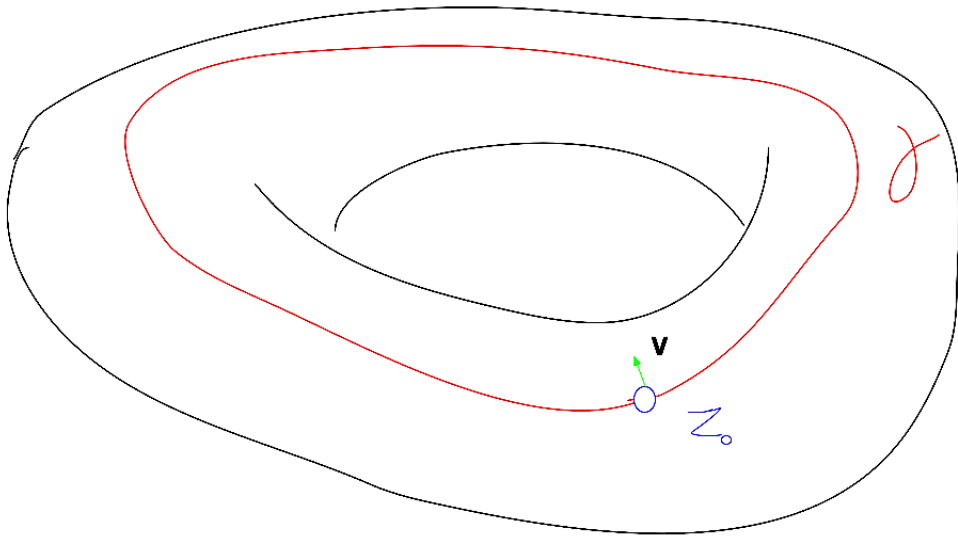
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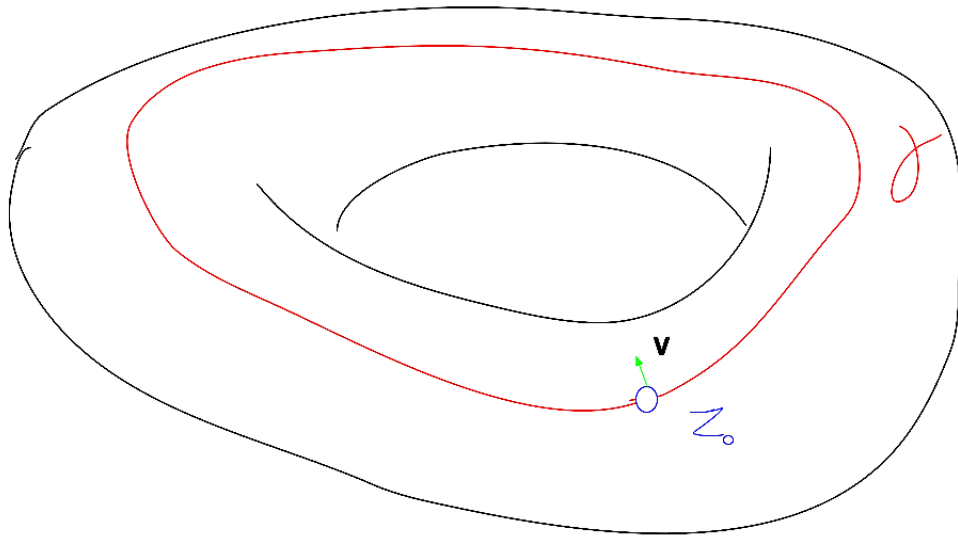
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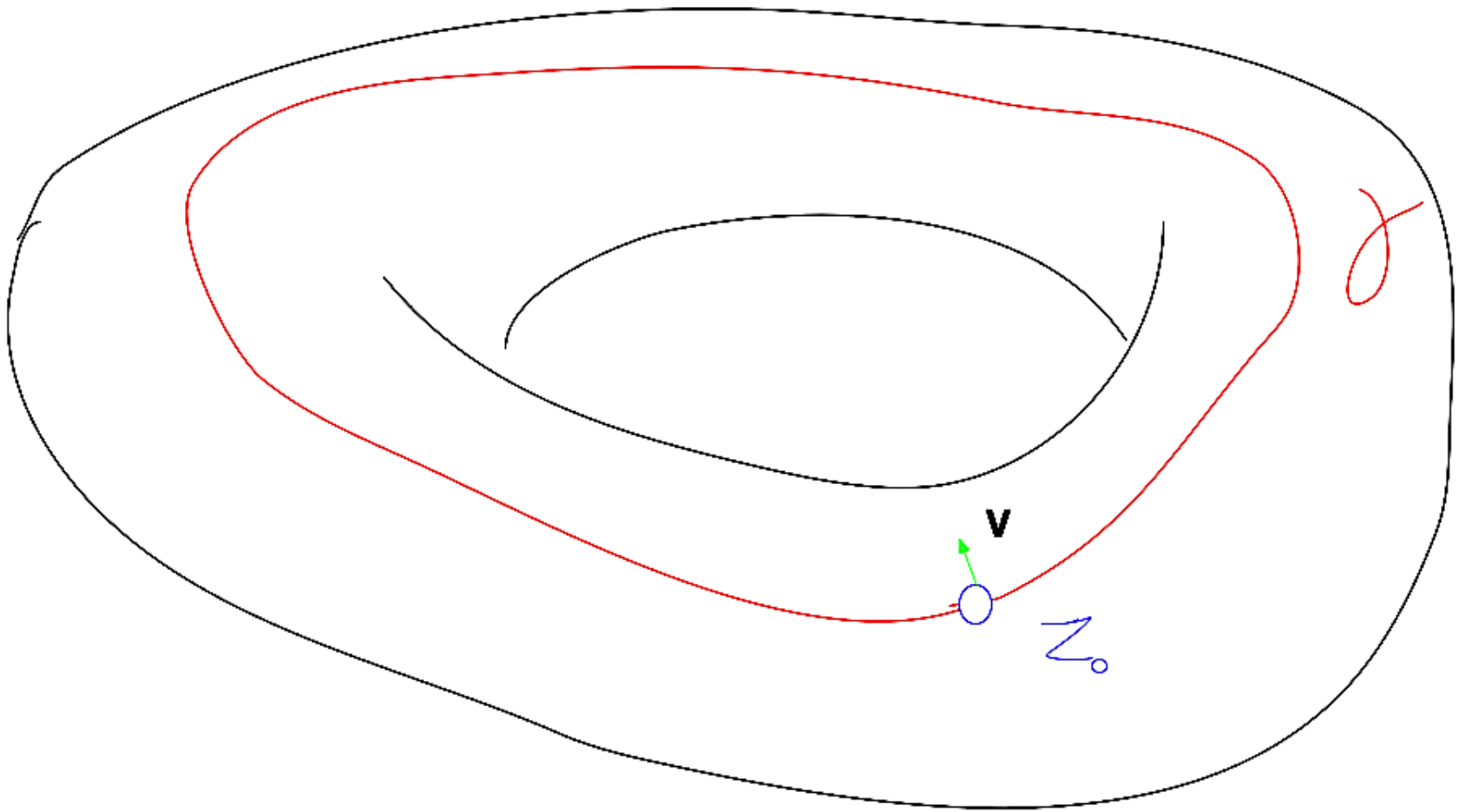
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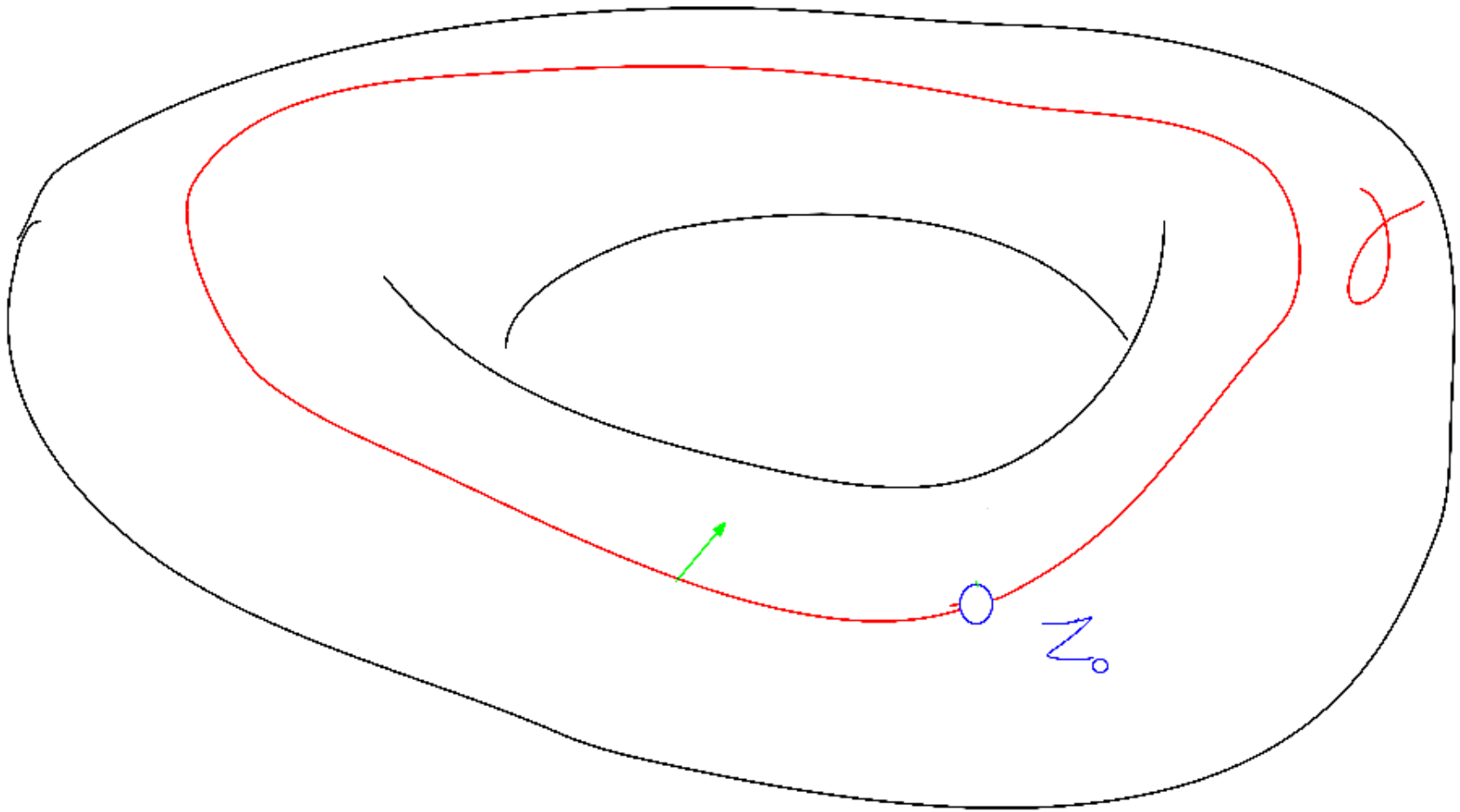
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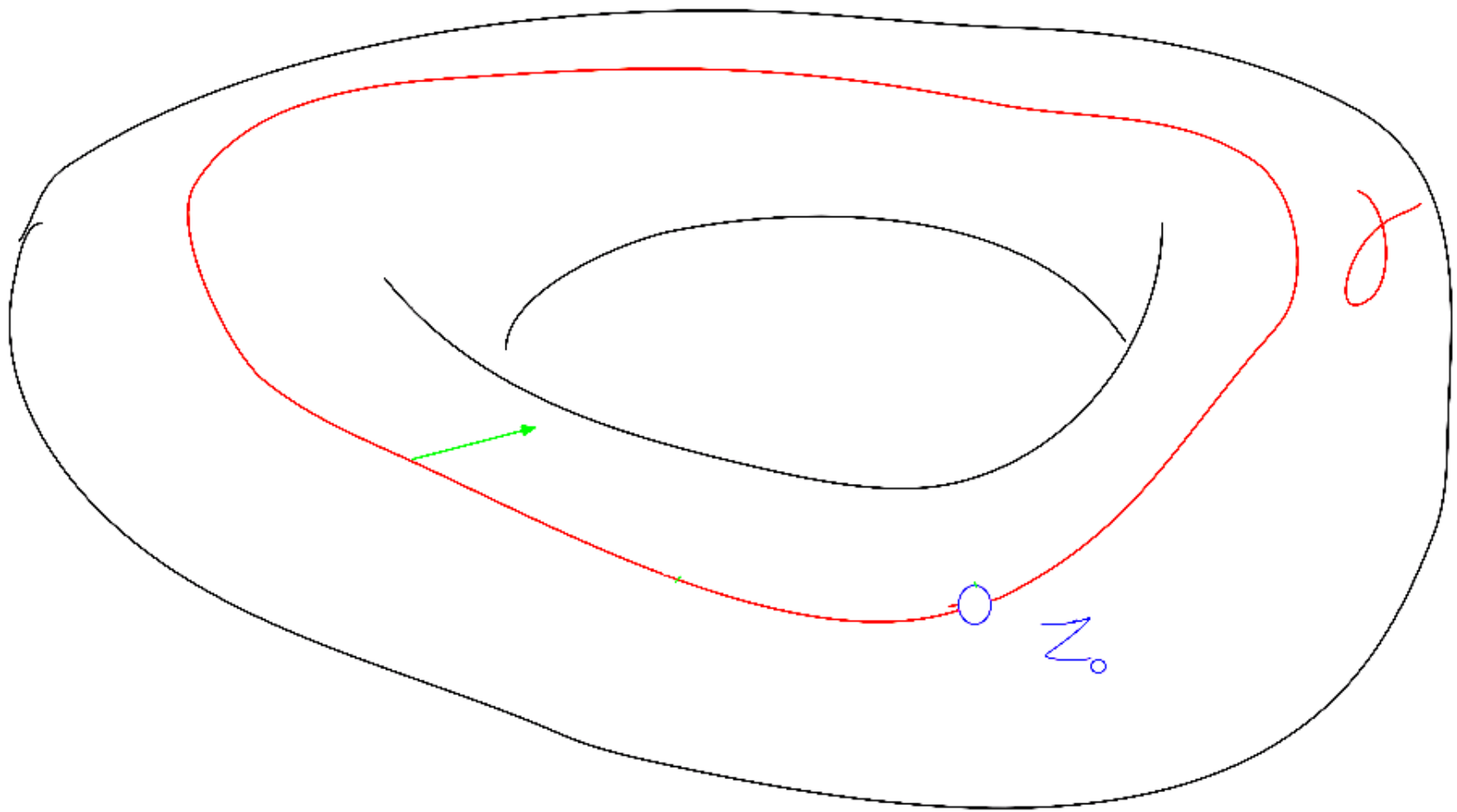
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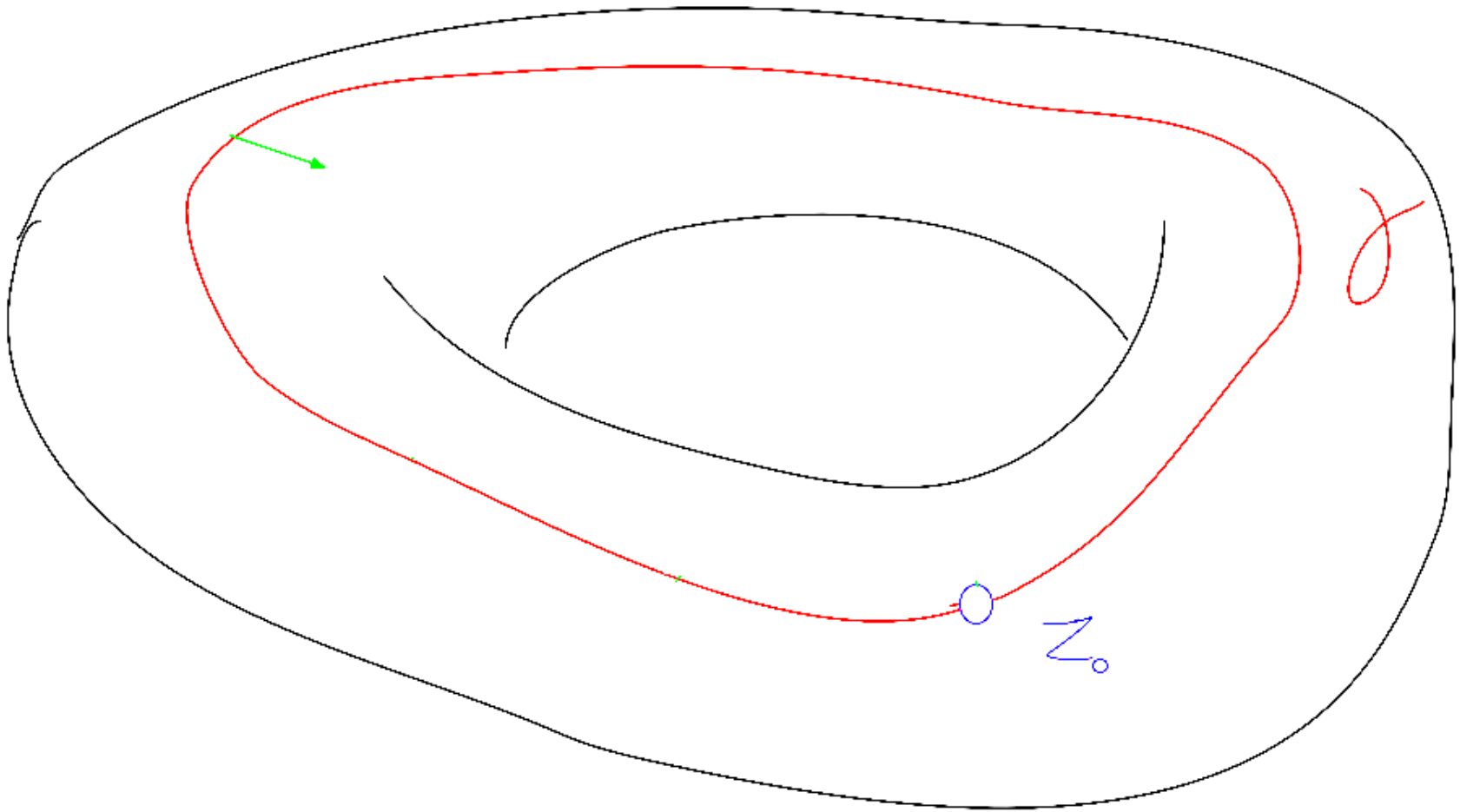
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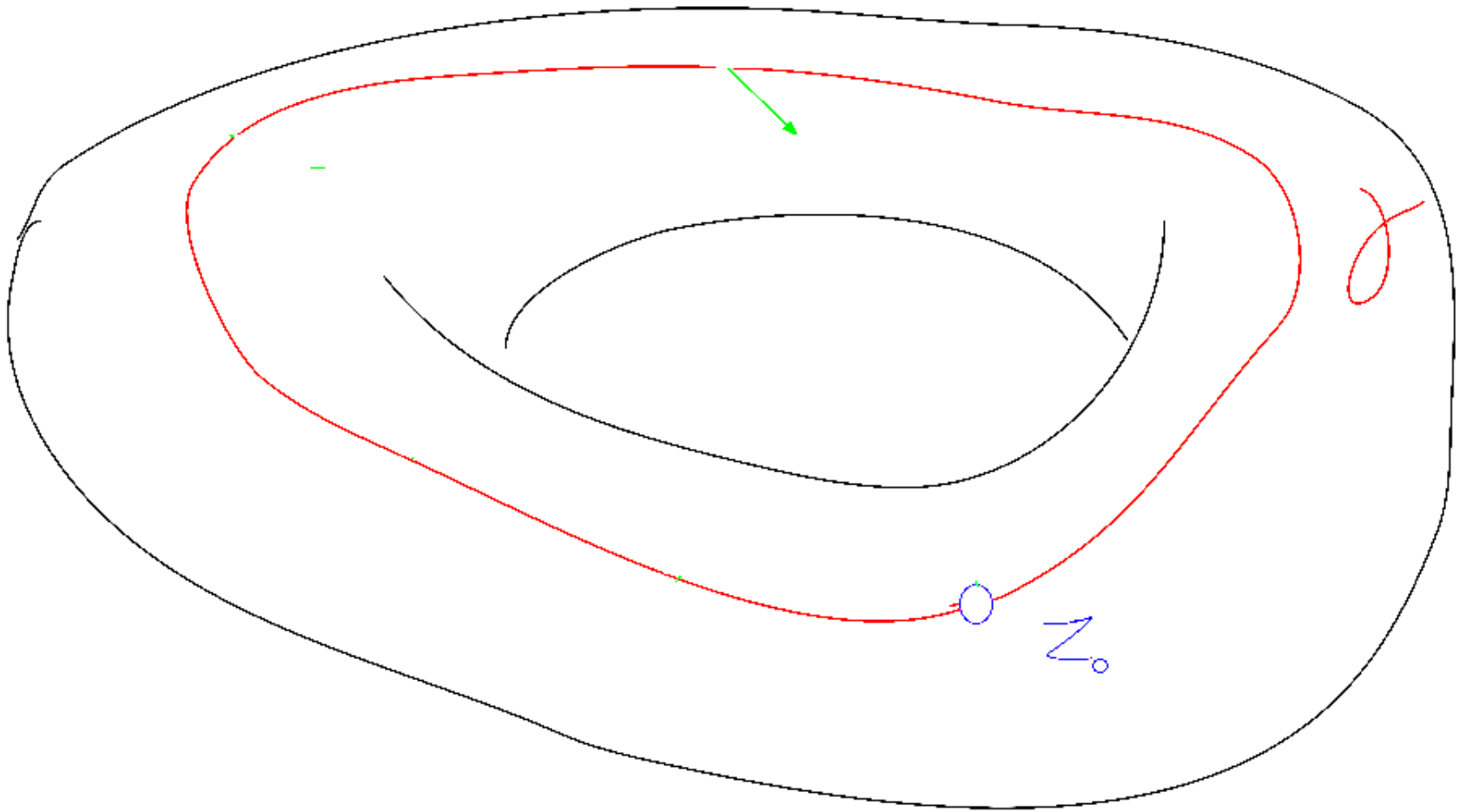
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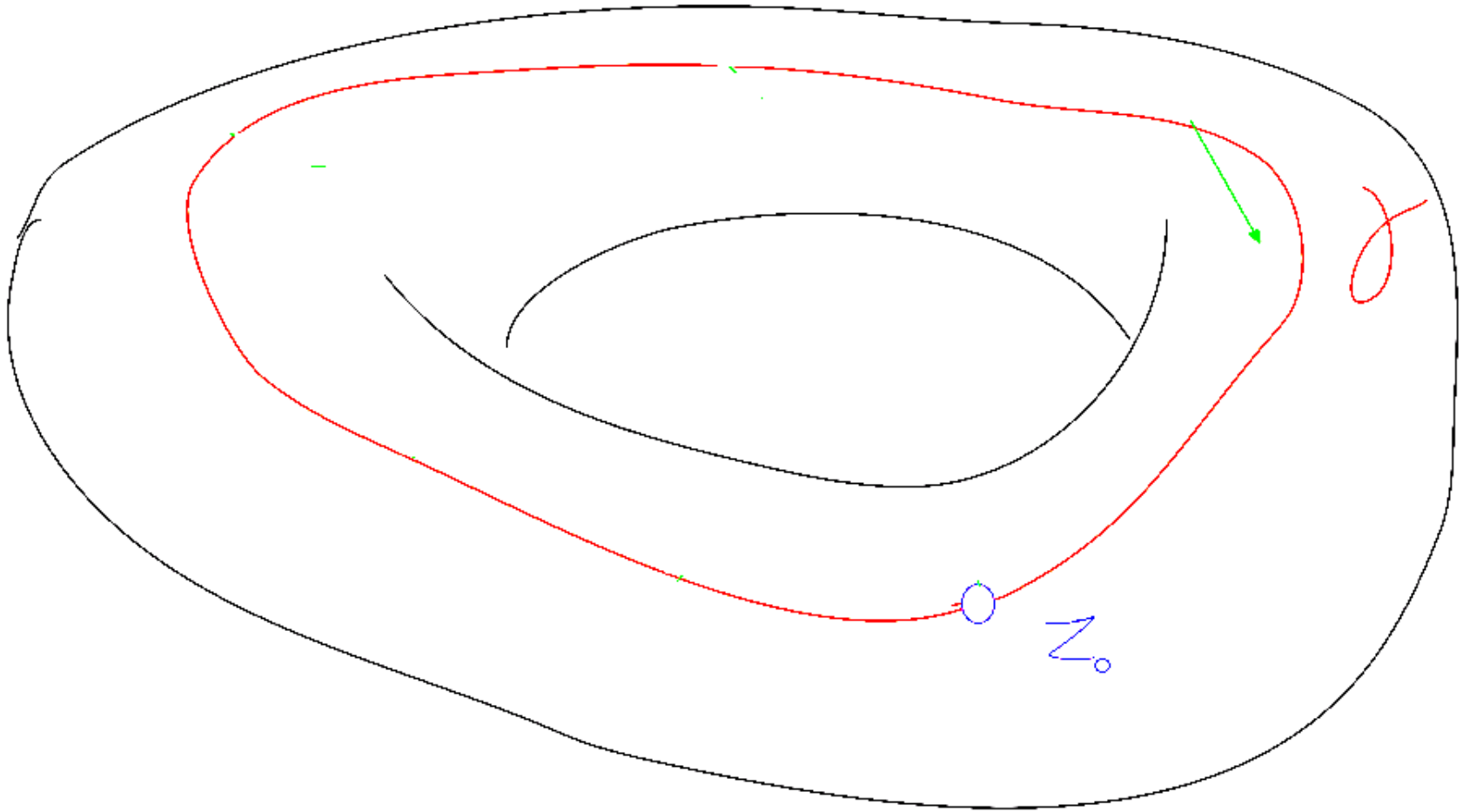
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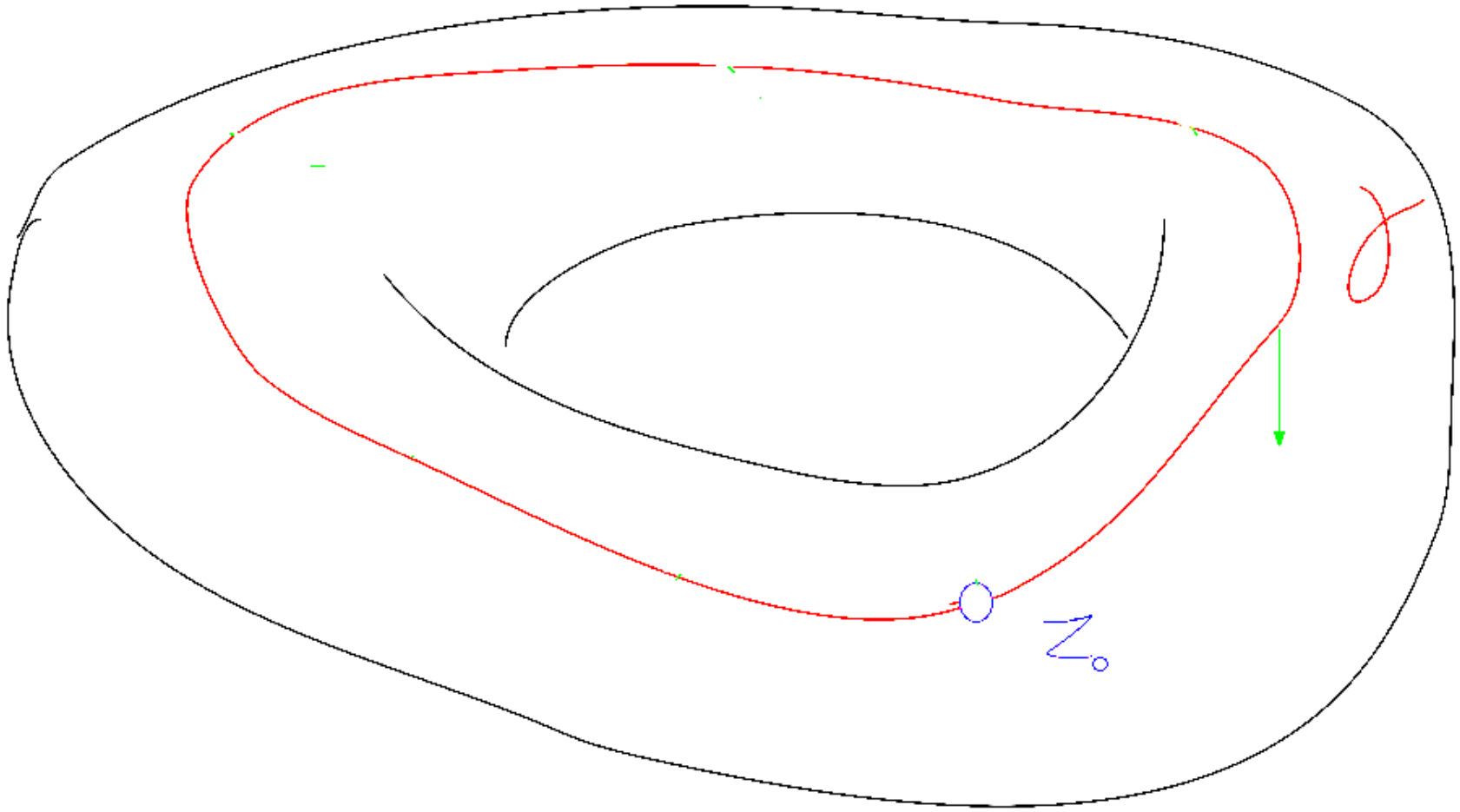
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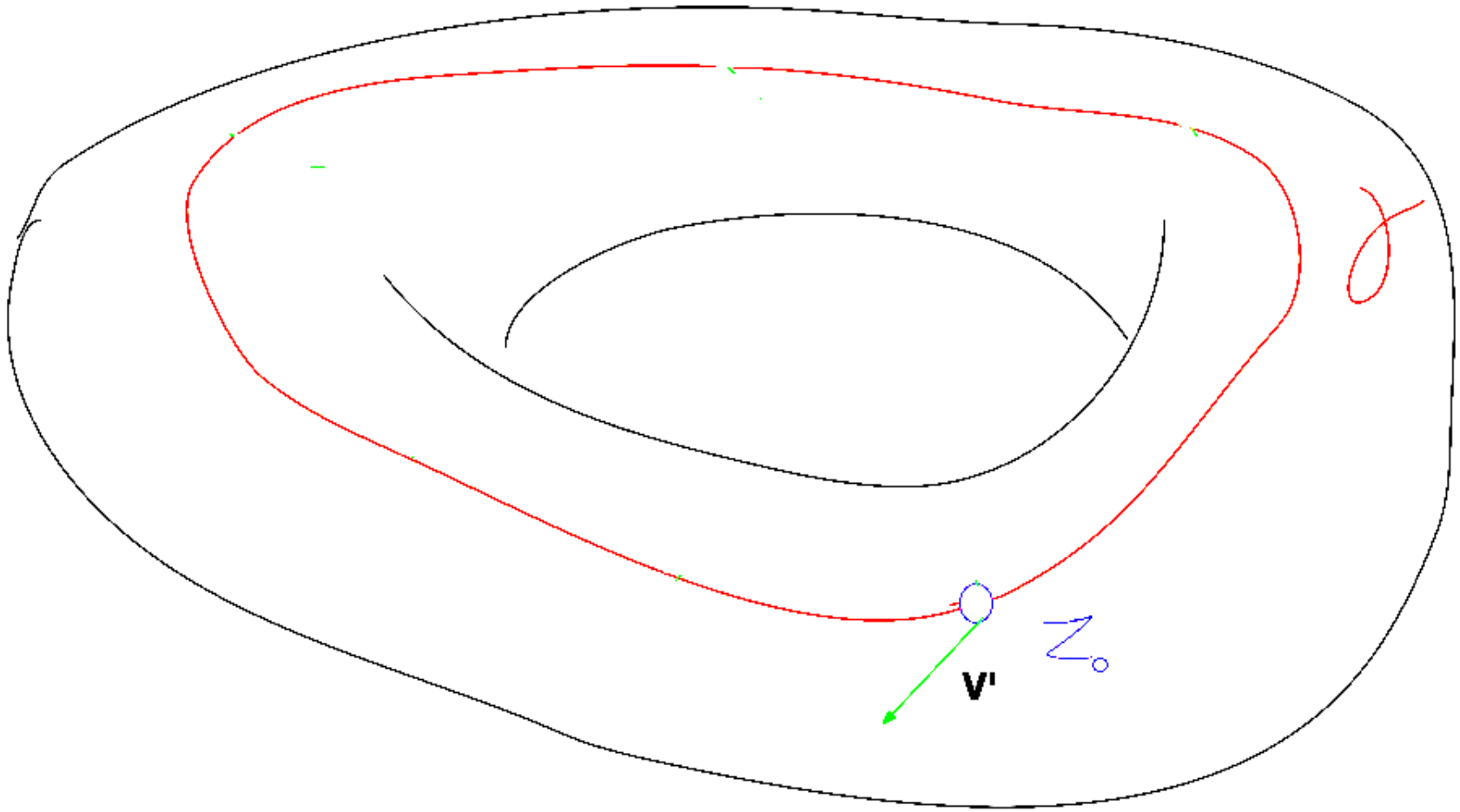
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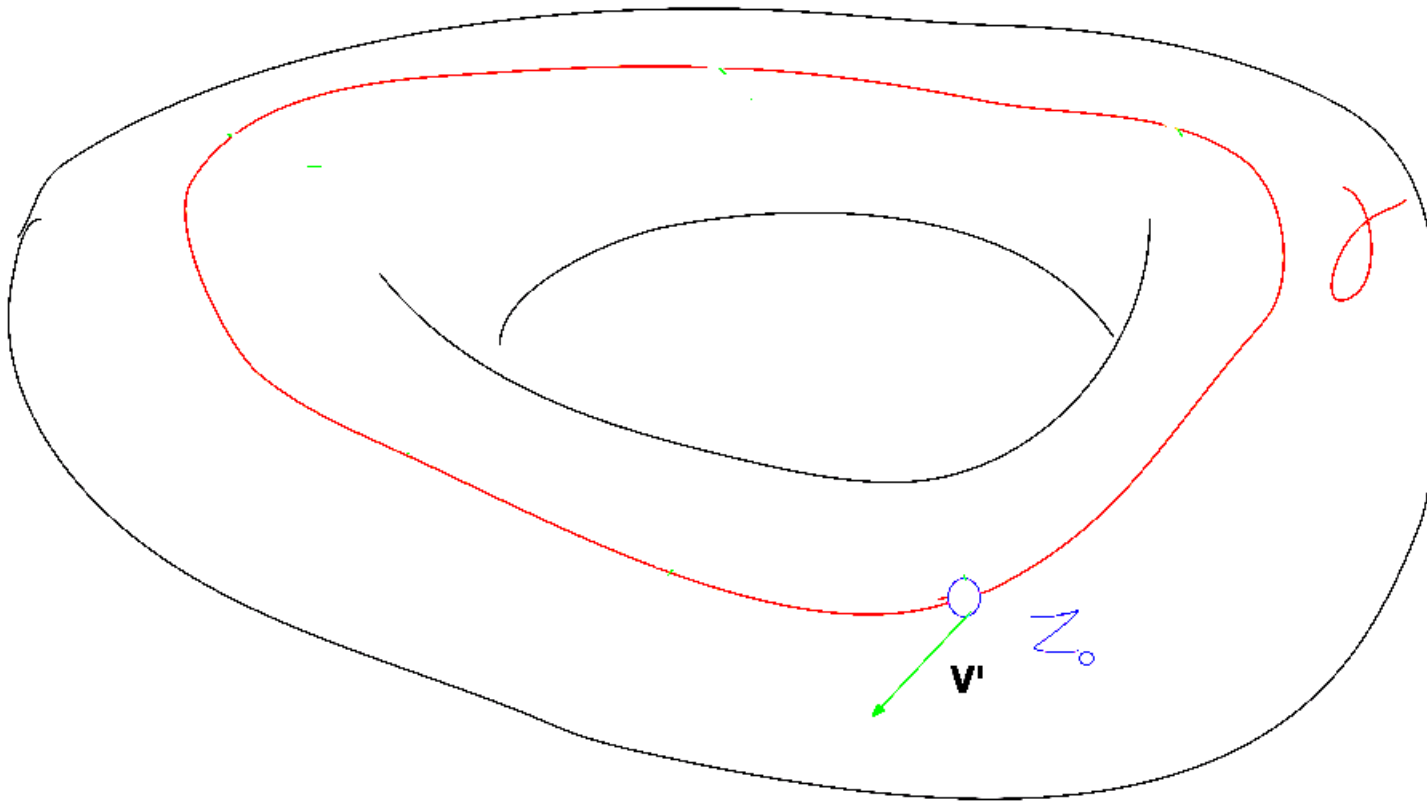
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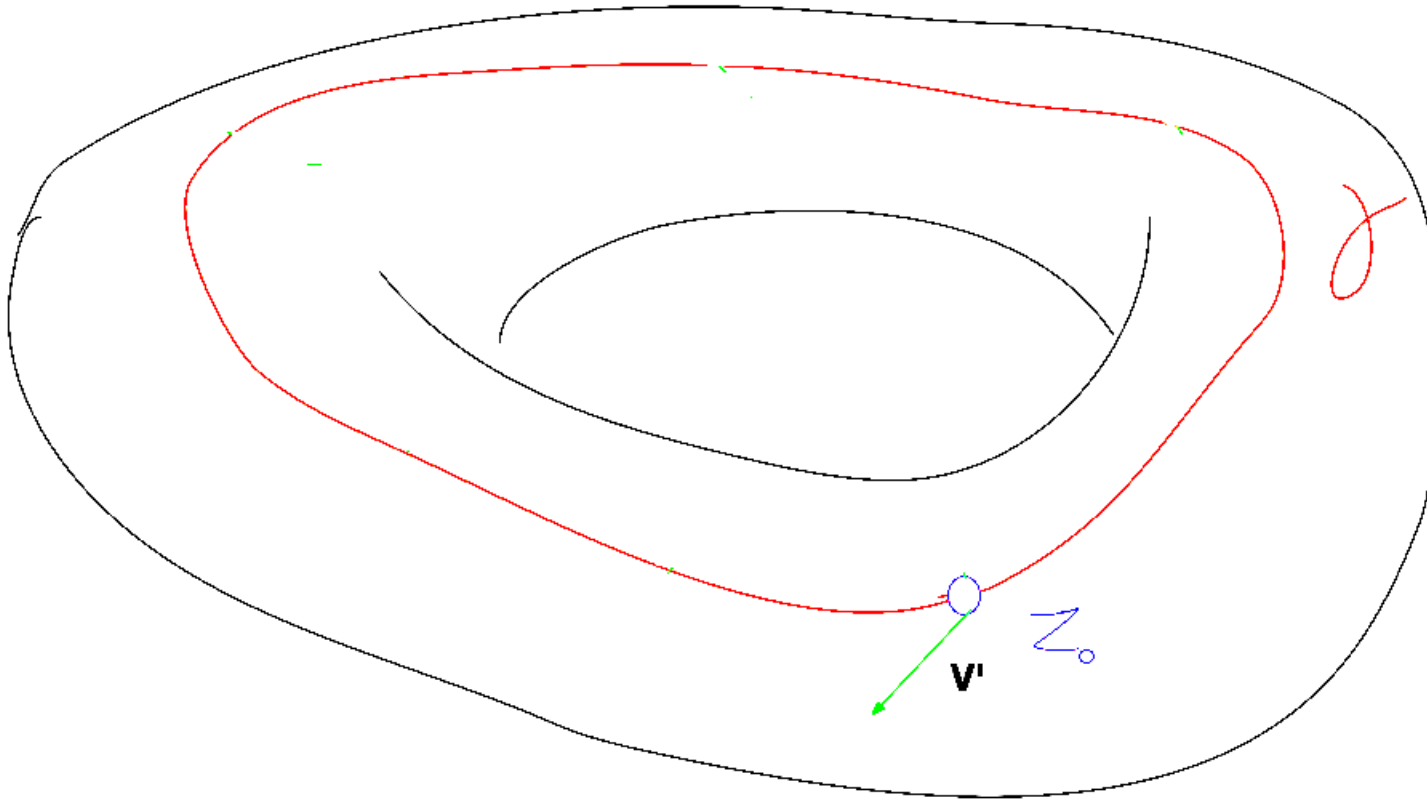


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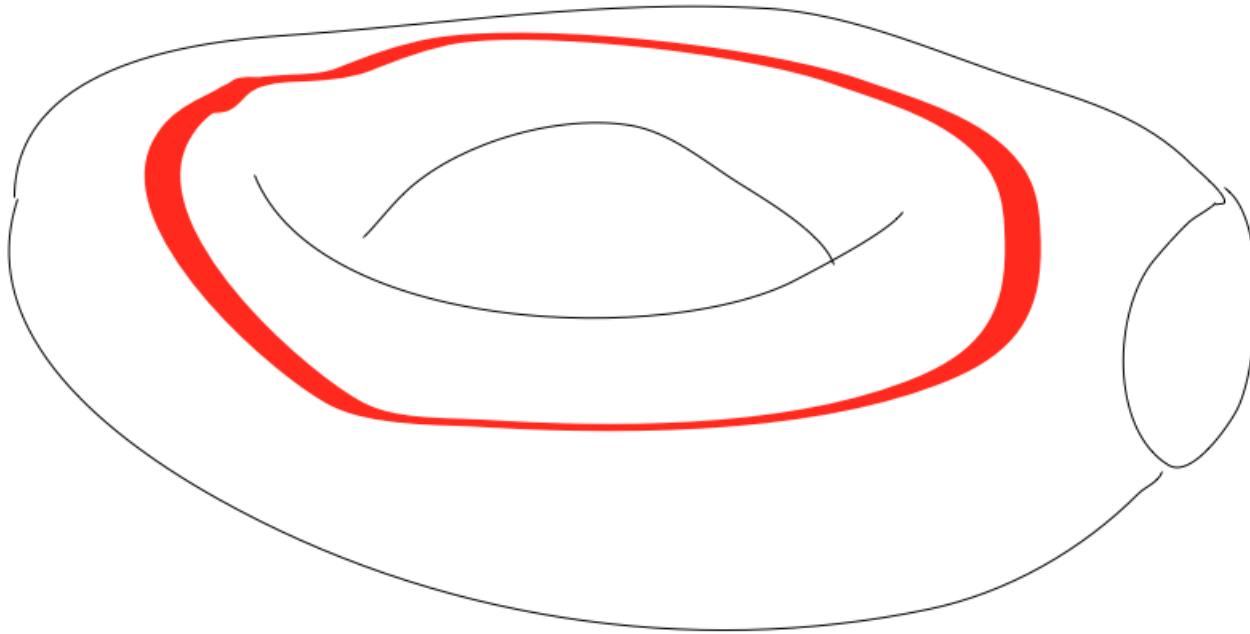
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- Connections are isomorphic.
- Geometric intuition of our result.

Proof of Result

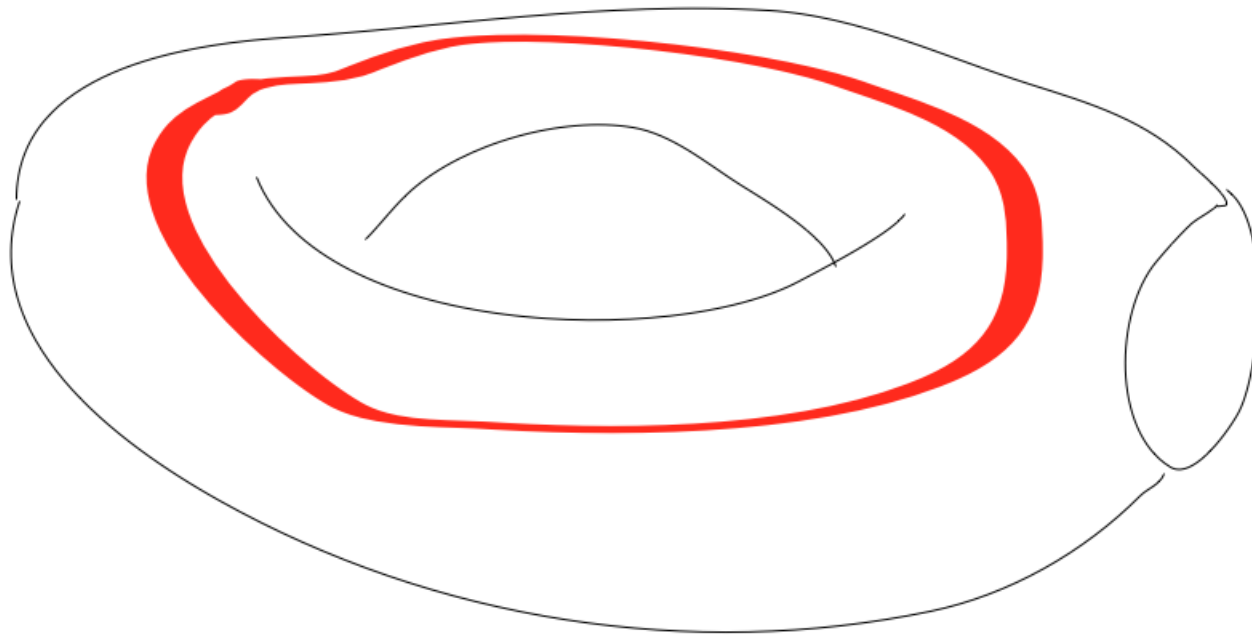
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Want to show that $\int_{\gamma} A \in 2\pi\mathbb{Z}$.

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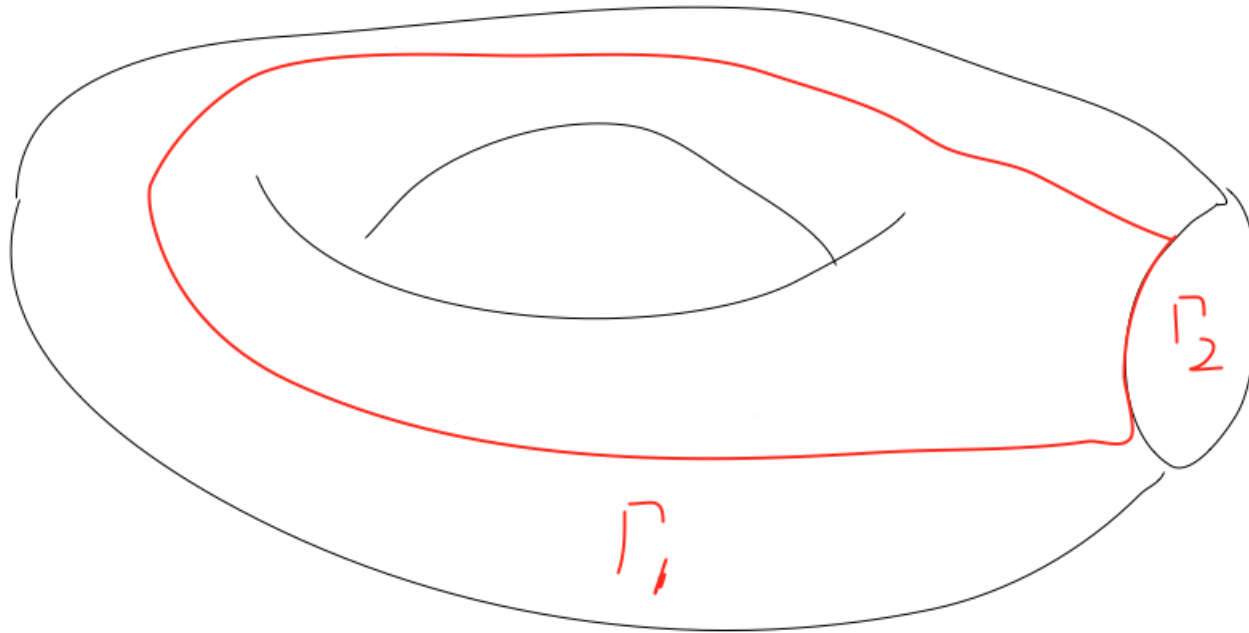
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Since $dA = 0$ we can choose any representative of the homology class.

Proof of Result

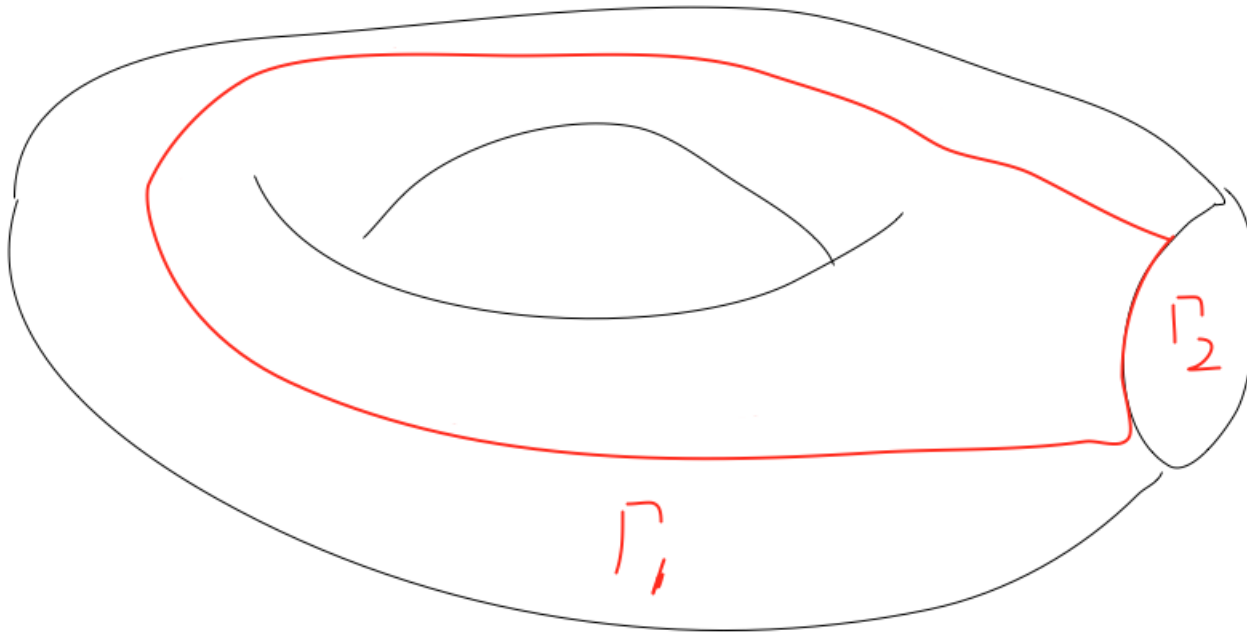
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So we deform the curve as such so that part of it, Γ_2 , is on ∂M

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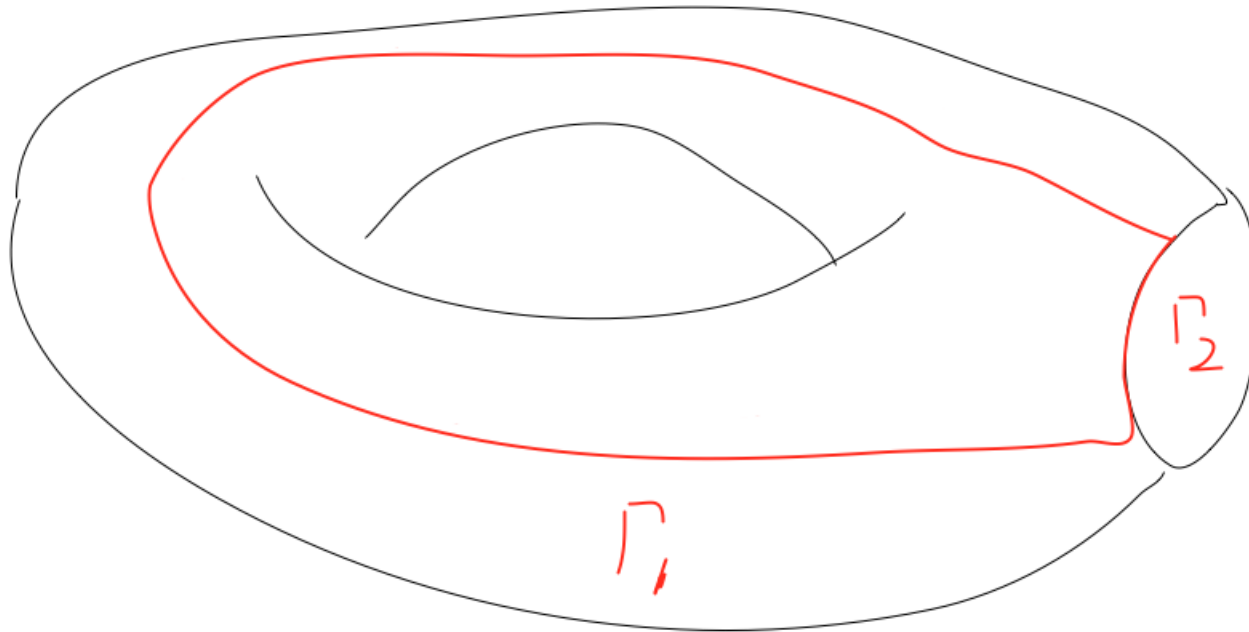
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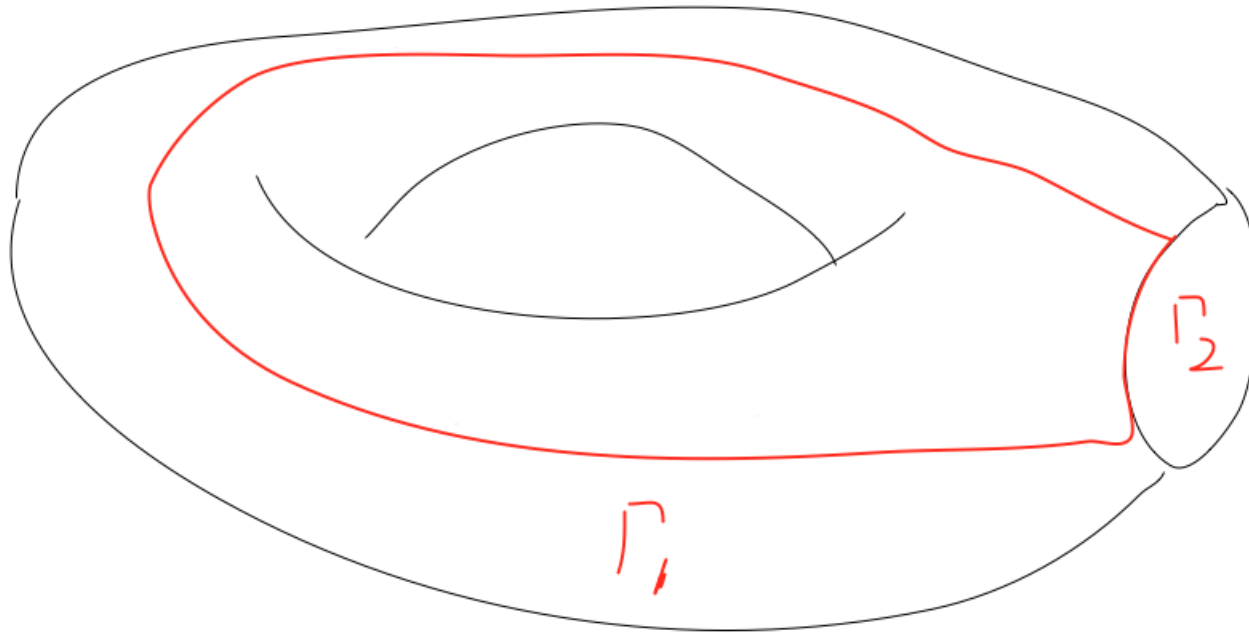
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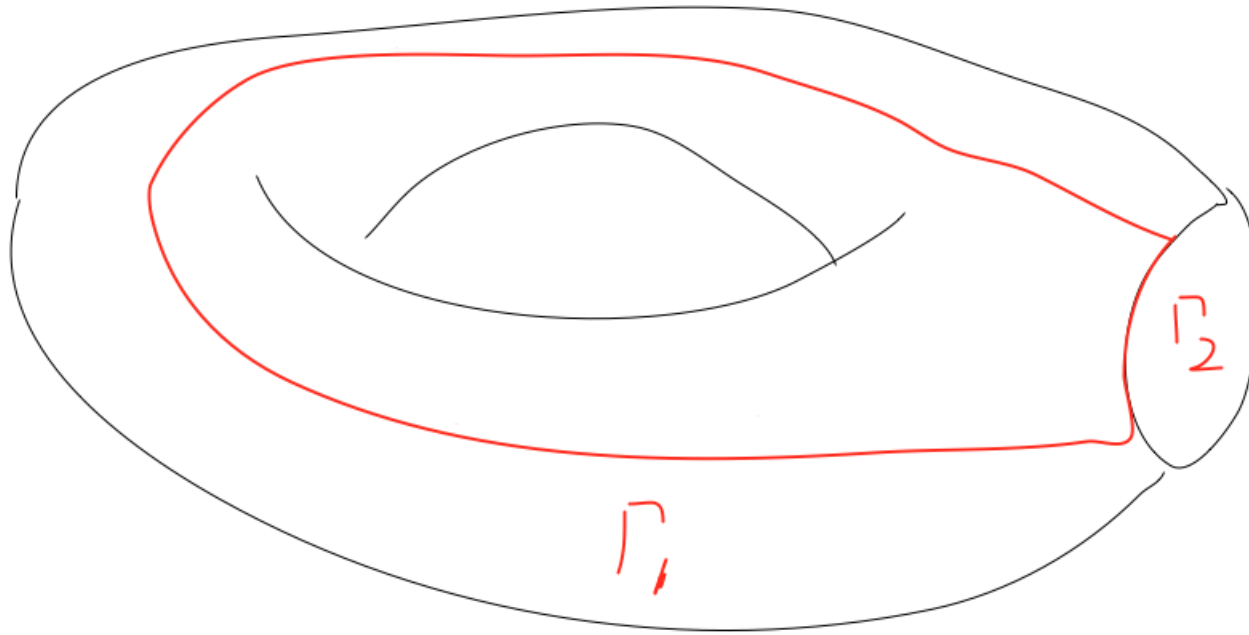
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Higher Rank Bundles

Theorem(Albin - Guillarmou - LT, Ann Henri Poincaré 2013)

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Cauchy-Riemann Operator and Holomorphic Structure

We start with a connection on complex bundle E :

▽

Cauchy-Riemann Operator and Holomorphic Structure

Which determines a Cauchy-Riemann operator:

$$\nabla \rightarrow \pi_{1,0}\nabla := \partial^\nabla$$

Cauchy-Riemann Operator and Holomorphic Structure

Which induces a compatible holomorphic structure on E (Kobayashi):

$$\nabla \rightarrow \pi_{1,0}\nabla := \partial^\nabla \rightarrow (\mathcal{U}_\alpha, \phi_\alpha)$$

Cauchy-Riemann Operator and Holomorphic Structure

Since M has boundary E has a holomorphic trivialization F :

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Play this game for ∇^j , $j = 1, 2$, we get holomorphic trivializations F_1 and F_2 respectively.

Cauchy-Riemann Operator and Holomorphic Structure

Since M has boundary E has a holomorphic trivialization F :

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Play this game for ∇^j , $j = 1, 2$, we get holomorphic trivializations F_1 and F_2 respectively.

Having the Dirichlet-Neumann map of ∇^1 and ∇^2 agree means we can choose holomorphic trivializations F_1 and F_2 such that they agree on ∂M .

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- On M how do we show density of products of solutions?
- In \mathbb{R}^n use Fourier Transform.

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$$\int_{\mathbb{D}} \underbrace{e^{i\psi/h}(V_1 - V_2)}_{\text{principal part}} + o(h) = 0$$

5. $\psi(x, y) = xy$ has a unique non-degenerate critical point at 0.

$$\underbrace{\int_{\mathbb{D}} e^{i\psi/h}(V_1 - V_2) + o(h)}_{h(V_1 - V_2)(0) + o(h)} = 0$$

by stationary phase.

6. $V_1(0) = V_2(0)$. But there is nothing special about the origin. We can put critical point anywhere we like.

General Surfaces

Theorem(Guillarmou - LT, Duke Math J 2011)

Let M be a Riemann surface with boundary. Suppose $V_1, V_2 \in C^\infty(\overline{M})$ satisfy $\Lambda_{V_1} f = \Lambda_{V_2} f, \forall f$, then $V_1 = V_2$.

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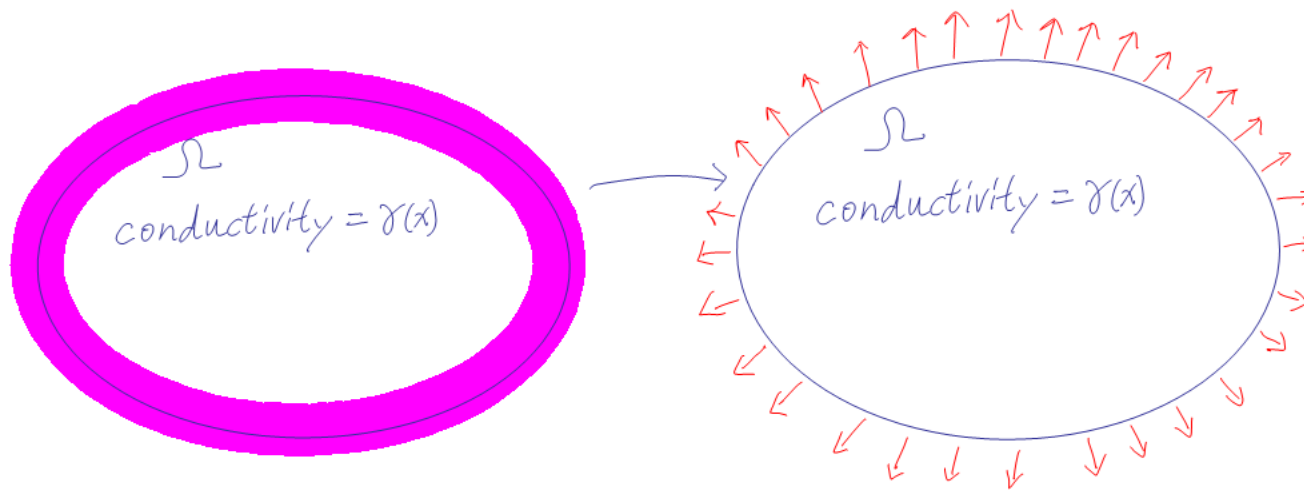
In $n = 2$ we can do even better.

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So far we have been able to make measurements on the entire boundary.

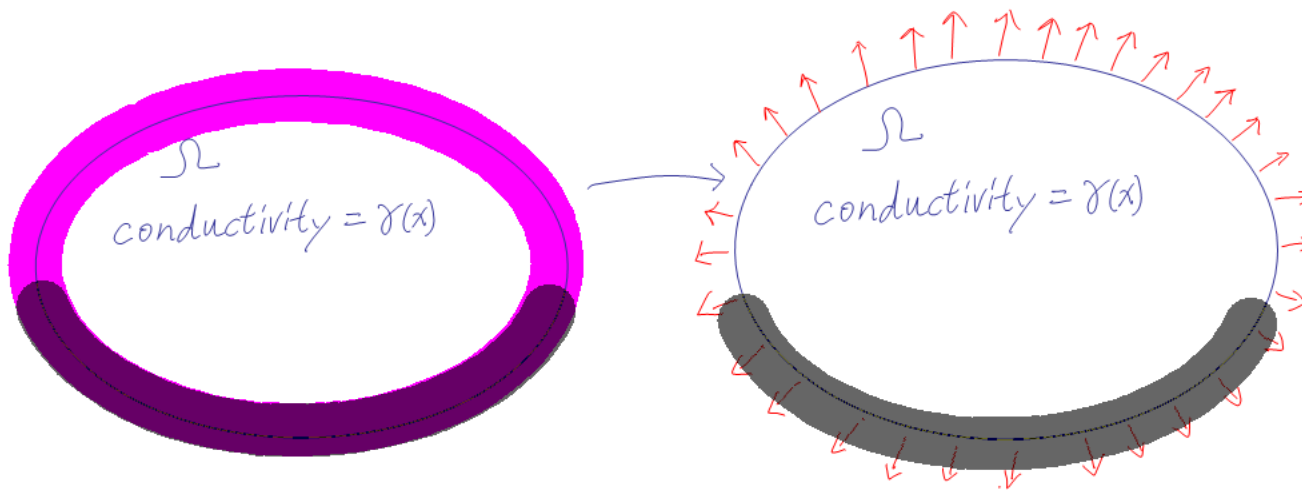


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What if part of the boundary is inaccessible?

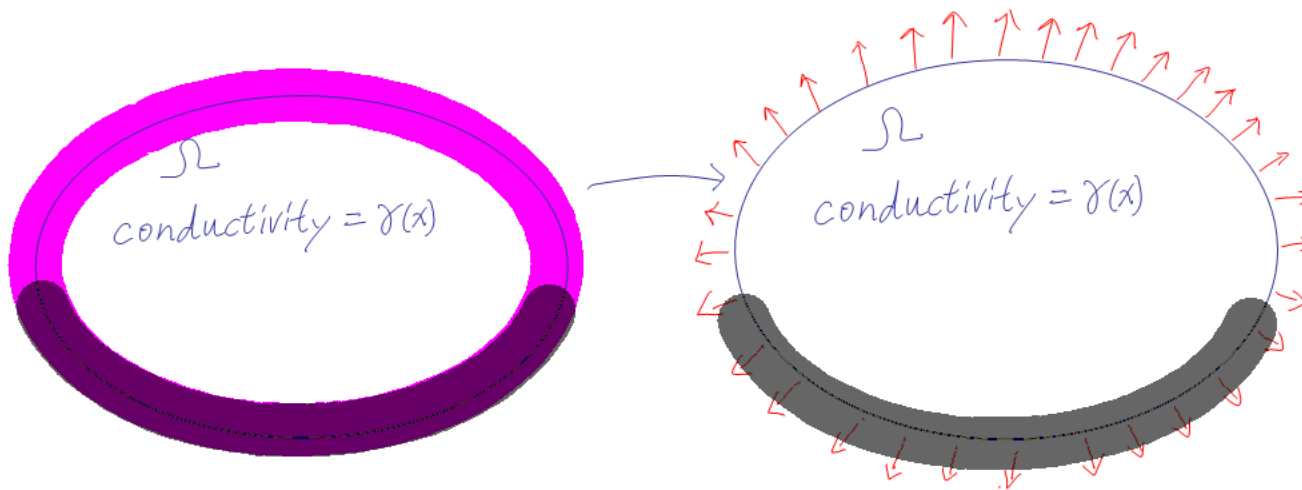


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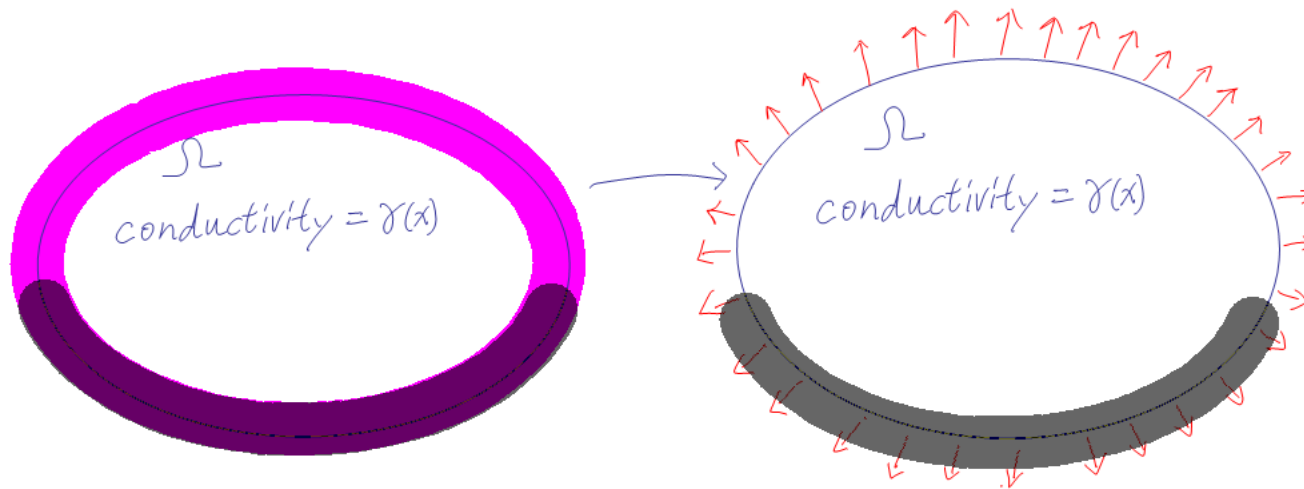


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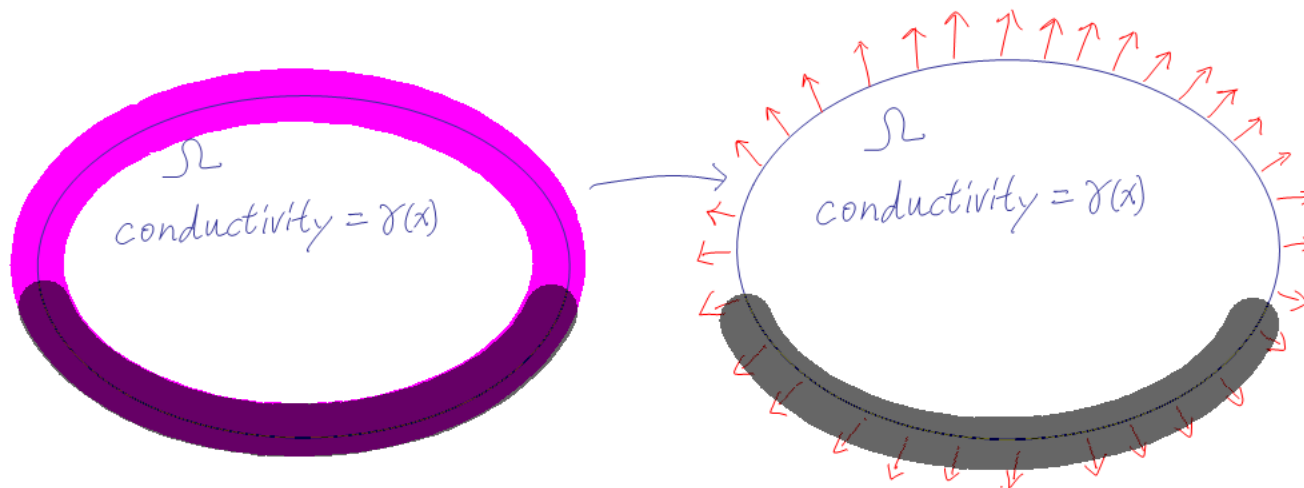


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- No explicit expression for holomorphic functions
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- Limited data

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- Φ needs to be constructed using abstract machinery

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by stationary phase.

6. $V_1(p) = V_2(p)$ at the critical point p of Φ . Move the critical point around and we have it for all points on M .

Construction of Special Solutions

We want to construct $(\Delta_g + V)u = 0$

$$u = \underbrace{\text{exponential leading term}}_{\text{geometry}} + \underbrace{\text{remainder}}_{\text{analysis}}$$

$$u|_{\Gamma^c} = 0$$

We first consider "free solutions" of this form when $V = 0$.

Reflected Waves (Imanuvilov-Uhlmann-Yamamoto)

Suppose Φ and a are holomorphic with

$$\Phi|_{\Gamma^c} \in \mathbb{R} \quad a|_{\Gamma^c} \in \mathbb{R}$$

then

$$\tilde{u} := \underbrace{e^{\Phi/h} a}_{\text{incoming wave}} - \underbrace{e^{\bar{\Phi}/h} \bar{a}}_{\text{reflected wave}}$$

is harmonic with

$$\tilde{u}|_{\Gamma^c} = 0$$

Once such a free solution is constructed, we can use Carleman estimates to solve for the remainder to get

$$u = \tilde{u} + \text{remainder}$$

$$(\Delta_g + V)u = 0$$

Conditions for Φ

So Φ has to satisfy

- $\bar{\partial}\Phi = 0$
- $\Phi|_{\Gamma_c} \in \mathbb{R}$
- Φ is MORSE

Recall that we can conclude $V_1(p) = V_2(p)$ ONLY IF p is the critical point of such a Φ .

So for all $p \in M$ we need such a Φ such that $\partial\Phi(p) = 0$.

(Holomorphic functions are very rigid!!)

Geometrical Point of View

We look for a section of the trivial bundle

$$E = M \times \mathbb{C}$$

- which is purely real on $\Gamma^c \subset \partial M$
- and is in the kernel of $\bar{\partial}$ operator.

So we are interested in understanding $Ker(\bar{\partial})$ in the space

$$H_F^k(M) := \{u : M \rightarrow \mathbb{C} \mid u|_{\partial M} \in F\}$$

where $F \subset E|_{\partial M}$ is a (real) rank 1 sub-bundle such that $F|_{\Gamma^c} = \Gamma^c \times \mathbb{R}$.

Maslov Index and $Ker(\bar{\partial}), Range(\bar{\partial})$

Let $E = M \times \mathbb{C}$ be the trivial bundle and

$$F \subset E |_{\partial M}$$

be a (real) rank 1 sub-bundle over ∂M .

The MASLOV INDEX $\mu(F, E)$ measures the winding number of F .

Let $Ker_F(\bar{\partial}) := Ker(\bar{\partial}) \cap H_F^k(M)$. Then for $\mu(F, E) + 2\chi(M) > 0$,

$$dim(Ker_F(\bar{\partial})) = \mu(F, E)$$

$$\bar{\partial} : H_F^k(M) \rightarrow \text{holomorphic 1-forms}$$

is surjective.

- In our case, we require that $F|_{\Gamma^c} = \Gamma^c \times \mathbb{R}$.
- However, on $\Gamma \subset \partial M$ we have no requirements.
- So by letting F wind on Γ , we can make $\mu(F, E)$ as large as we wish

Therefore we have as many holomorphic functions satisfying our boundary condition as we like.

Using surjectivity, we can control the series expansion of our holomorphic function at any given point.

Consider the Map

$$\underbrace{Ker_F(\bar{\partial})}_{dim \sim \mu(F,E)} \rightarrow \underbrace{CT_p^* M}_{dim=4}$$

$$u \mapsto du(p)$$

The kernel of this map is very large.

Proposition

For all $p \in M$ there exists a nontrivial holomorphic function Φ such that $\partial\Phi(p) = 0$ and $\Phi|_{\Gamma c} \in \mathbb{R}$.